

Guarantee SBLC Issuance Claim Settlement User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Issuance Claim Settlement User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance Claim Settlement

As a part of Guarantee Issuance Claim Settlement process, the user can initiate Settlement of Claim under a Guarantee/SBLC, when a valid claim is received from the Beneficiary or the Bank that is authorized by the Guarantor to raise a claim under the Guarantee/SBLC.

This section contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new update a claim lodged under a Guarantee/SBLC Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

| Field | Description |
|--------------|---|
| Process Name | Select the process name to initiate the task. |
| Branch | Select the branch. |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |

| Field | Description |
|-------|--|
| Clear | The user can clear the contents update and can input values again. |

Registration

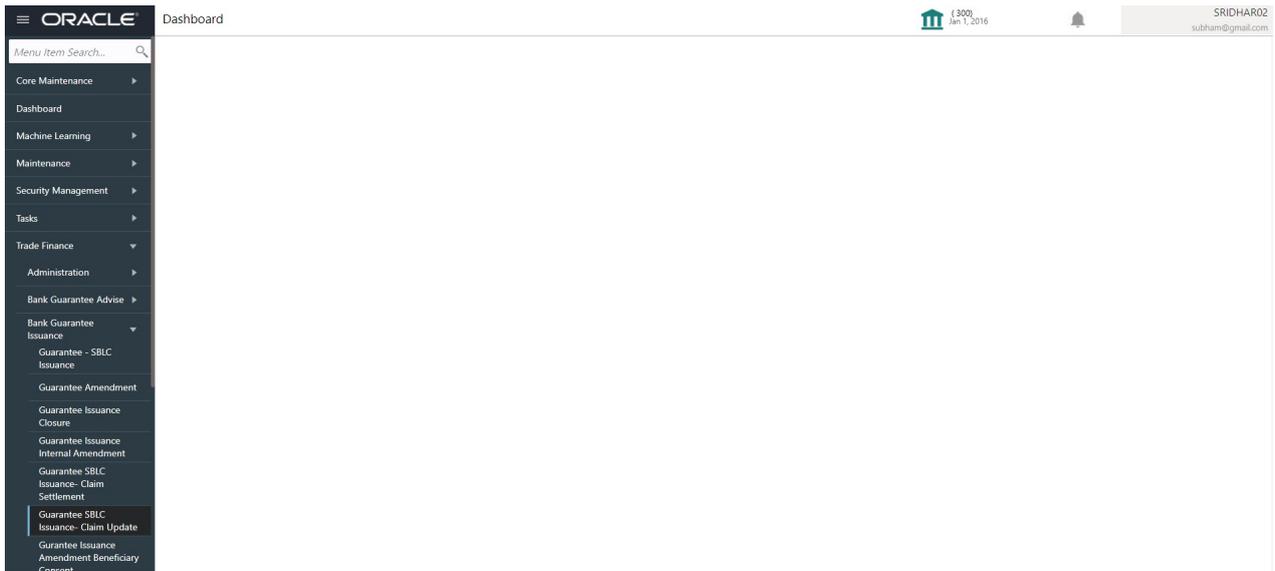
During the Registration stage, the user can register settlement of claim under a Guarantee/SBLC Issued.

In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC. The user can capture the basic details of the application.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance**> **Bank Guarantee Issuance** > **Guarantee SBLC Issuance - Claim Update**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

Guarantee SBLC Issuance- Claim Settlement

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number: PK2GUIR21125A1XR

Claim Serial Number: 4

Beneficiary ID/Name: 001043 MARKS AND SP

Branch: PK2-PK2-Oracle Banking Trade F...

Process Reference Number: PK2GISCO00007171

Priority: Medium

Submission Mode: Desk

Claim Settlement Date: May 5, 2021

Beneficiary Reference Number: PK2GUIR21125A1XR

Issuing Bank Reference Number: [Empty]

Version: 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type: CUST

30 Date of Issue: May 5, 2021

Purpose of Message: ICCO

23B Expiry Type: OPEN

31E Date of Expiry: Nov 11, 2021

Claim Date: May 5, 2021

Claim Expiry Date: Nov 11, 2021

Outstanding Currency/ Amount: GBP £9,000.00

40C Applicable Rules: URDG - Uniform rules for dema...

Applicant Bank: 001044 GOODCARE PLC

59A Beneficiary: 001043 MARKS AND SP

Advising Bank: [Empty]

Advise Through Bank: [Empty]

Counter Guarantee Issuing Bank: [Empty]

Local Guarantee Issuing Bank: [Empty]

Claim Amount: GBP £1.00

Claim Payment Amount: GBP [Empty]

Unlinked FX rate: [Empty]

Hold Cancel Save & Close Submit

The request is received at the Branch/ Front office or Processing center. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Guarantee/SBLC Number | User can enter the undertaking number. The user can also search the undertaking number through LOV search. | |
| Claim Serial Number | User can enter the claim serial number to which update has to be done. | |

| Field | Description | Sample Values |
|-------------------------------|---|-----------------------------|
| Beneficiary ID/ Name | Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC claim. | 001345 |
| Branch | Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Issuance. | 203-Bank Futura -Branch FZ1 |
| Process Reference Number | Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code. | 203GTEISS000 001134 |
| Priority | Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. | High |
| Submission Mode | Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email | Desk |
| Claim Settlement Date | By default, the application will display branch's current date for the claim settlement date. Read only field.  Note Future date and back date selection is not allowed. | 04/13/2018 |
| Beneficiary Reference Number | Read only field. System defaults the Beneficiary Reference Number from Guarantee/ SBLC claim. | |
| Issuing Bank | Read only field. | |
| Issuing Bank Reference Number | Read only field. | 203GTEISS000 001134 |
| Version | System defaults the version number. | |

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Provide the Guarantee Details based on the description in the following table:

| Field | Description | Sample Values |
|------------------------------|--|--|
| Guarantee Type | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | ADVP |
| Date of Issue | Read only field. System defaults the value from Guarantee/ SBLC Issuance. | 04/13/18 |
| Purpose of message | Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance. | |
| Expiry Type | Read only field. System defaults the expiry type from Guarantee/ SBLC Issuance. | |
| Date Of Expiry | Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance. | 09/30/18 |
| Claim Date | System defaults the claim date from Guarantee/ SBLC Issuance. | 04/13/2018 |
| Claim Expiry Date | System defaults the claim expiry date from Guarantee/ SBLC Issuance. | 04/13/2018 |
| Outstanding Currency/ Amount | System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance. | |
| Applicable Rules | Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance. | URDG - Uniform rules for demand guarantees |
| Applicant Bank | Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance. | 001345 Nestle |

| Field | Description | Sample Values |
|-----------------------------------|---|-----------------------------|
| Applicant | Read only field. System defaults the applicant from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Beneficiary | Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. | 001345 Nestle |
| Advising Bank | Read only field. System defaults the advising bank if available. | 001343 - Bank Of America |
| Advising Through Bank | Read only field. System defaults the advising through bank if available. | Advising Bank Reference |
| Counter Guarantee Issuing Bank | Read only field. System defaults the counter guarantee issuing through bank if available. | |
| Local Guarantee Issuing Bank | Read only field. System defaults the local guarantee issuing bank if available. | |
| Claim Amount | Read only field. System defaults the claim amount from the Guarantee Claim Lodgment. | |
| Claim Payment Amount | User can enter the claim payment amount. | |
| Unlinked FX rate | If claim currency is different from local currency system will display the unlinked FX rate. | |

Miscellaneous

Guarantee SBLC Issuance- Claim Settlement

Documents Remarks Customer Instruction

Application Details - Main

| | | | |
|---|--------------------------|--|---|
| Guarantee/SBLC Number PK2GUR21125A1XR | Claim Serial Number 4 | Beneficiary ID/Name 001043 MARKS AND SP | Branch PK2-PK2-Oracle Banking Trade F... |
| Process Reference Number PK2GISC000007171 | Priority Medium | Submission Mode Desk | Claim Settlement Date May 5, 2021 |
| Beneficiary Reference Number PK2GUR21125A1XR | Issuing Bank | Issuing Bank Reference Number | Version 1 |

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

| | | | |
|--|---------------------------------|-------------------------------------|---|
| Guarantee Type CUST | 30 Date of Issue May 5, 2021 | Purpose of Message ICCO | 23B Expiry Type OPEN |
| 31E Date of Expiry Nov 11, 2021 | Claim Date May 5, 2021 | Claim Expiry Date Nov 11, 2021 | Outstanding Currency/ Amount GBP £9,000.00 |
| 40C Applicable Rules URDG - Uniform rules for dema... | Applicant Bank | 50 Applicant 001044 GOODCARE PLC | 59A Beneficiary 001043 MARKS AND SP |
| Advising Bank | Advise Through Bank | Counter Guarantee Issuing Bank | Local Guarantee Issuing Bank |
| Claim Amount GBP £1.00 | Claim Payment Amount GBP | Unlinked FX rate | Hold Cancel Save & Close Submit |

Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Documents | User can upload the claim documents. Application will display the mandatory and optional documents. | |
| Remarks | User can enter the additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application. | |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| View Guarantee/SBLC | User can view all the latest Guarantee/Standby LC details. | |
| Guarantee/SBLC Events | User can view all the previous events under the Guarantee/Standby LC. | |

| Field | Description | Sample Values |
|--------------|--|---------------|
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Cancel | The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted. | |
| Save & Close | Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request. | |
| Submit | On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Checklist | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Mandatory claim Documents received | |

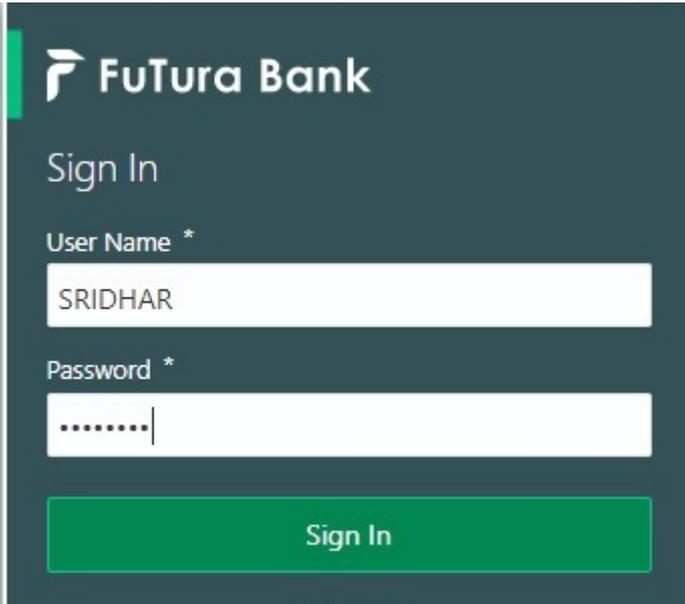
Data Enrichment

On successful completion of Registration of a Guarantee SBLC Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.

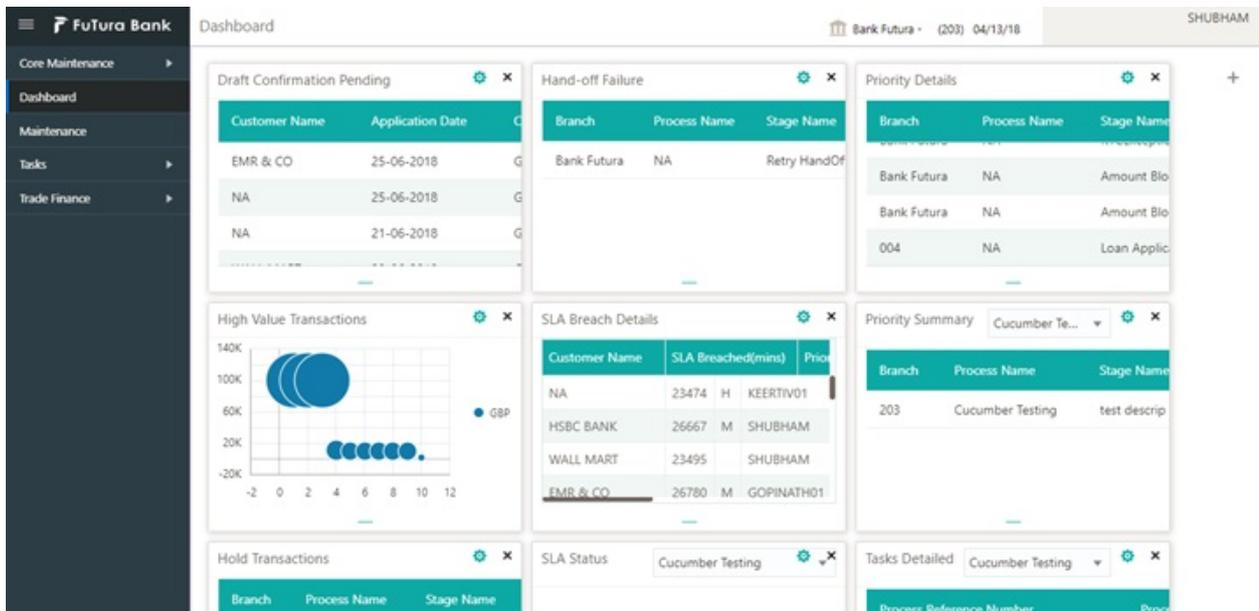
Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features the bank's logo and name at the top. Below that is a 'Sign In' heading. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The screenshot displays the FuTura Bank dashboard for user SHUBHAM. The dashboard is composed of several widgets:

- Draft Confirmation Pending:** A table with columns 'Customer Name', 'Application Date', and 'Status'. Data includes EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data includes Bank Futura, NA, and Retry HandOf.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data includes Bank Futura, NA, Amount Blo, and 004, NA, Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values for GBP. The y-axis ranges from -20K to 140K, and the x-axis ranges from -2 to 12.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'. Data includes NA (23474, H, KEERTIV01), HSBC BANK (26667, M, SHUBHAM), WALL MART (23495, SHUBHAM), and EMR & CO (26780, M, GOPINATH01).
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** A dropdown menu currently set to 'Cucumber Testing'.

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Nu |
|--------------------------|----------|---|--------------------------|--------------------|-----------------------|------------------|--------|-------------|
| <input type="checkbox"/> | M | Guarantee SBLC Issuance -Claim Settlement | PK2GISC000055184 | PK2GISC000055184 | DataEnrichment | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Export LC Advise | PK2ELCA000055188 | PK2ELCA000055188 | Registration | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | | Import LC Issuance | PK2ILCI000054989 | PK2ILCI000054989 | Reject Approval | 21-04-29 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Issuance | PK2ILCI000055155 | PK2ILCI000055155 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | H | Import LC Issuance | PK2ILCI000055146 | PK2ILCI000055146 | Handoff RetryTask | 21-04-30 | PK2 | 001043 |
| <input type="checkbox"/> | M | Import LC Drawing | PK2ILCD000055142 | PK2ILCD000055142 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Issuance | PK2ILCI000055141 | PK2ILCI000055141 | DataEnrichment | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Export LC Amendment | PK2ELCA000055130 | PK2ELCA000055130 | Scrutiny | 21-04-30 | PK2 | 000156 |
| <input type="checkbox"/> | M | Export LC Advise | PK2ELCA000055102 | PK2ELCA000055102 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Drawing Update | PK2ILCU000055100 | PK2ILCU000055100 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Drawing Update | PK2ILCU000055099 | PK2ILCU000055099 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | H | Import LC Issuance | PK2ILCI000054390 | PK2ILCI000054390 | Approval Task Level 1 | 21-04-26 | PK2 | 001043 |
| <input type="checkbox"/> | M | Export LC Amendment | PK2ELCA000055062 | PK2ELCA000055062 | Scrutiny | 21-04-29 | PK2 | 001043 |

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Nu |
|-------------------------------------|----------|---|--------------------------|--------------------|-----------------------|------------------|--------|-------------|
| <input checked="" type="checkbox"/> | M | Guarantee SBLC Issuance -Claim Settlement | PK2GISC000055184 | PK2GISC000055184 | DataEnrichment | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Export LC Advise | PK2ELCA000055188 | PK2ELCA000055188 | Registration | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | | Import LC Issuance | PK2ILCI000054989 | PK2ILCI000054989 | Reject Approval | 21-04-29 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Issuance | PK2ILCI000055155 | PK2ILCI000055155 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | H | Import LC Issuance | PK2ILCI000055146 | PK2ILCI000055146 | Handoff RetryTask | 21-04-30 | PK2 | 001043 |
| <input type="checkbox"/> | M | Import LC Drawing | PK2ILCD000055142 | PK2ILCD000055142 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Issuance | PK2ILCI000055141 | PK2ILCI000055141 | DataEnrichment | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Export LC Amendment | PK2ELCA000055130 | PK2ELCA000055130 | Scrutiny | 21-04-30 | PK2 | 000156 |
| <input type="checkbox"/> | M | Export LC Advise | PK2ELCA000055102 | PK2ELCA000055102 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Drawing Update | PK2ILCU000055100 | PK2ILCU000055100 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Import LC Drawing Update | PK2ILCU000055099 | PK2ILCU000055099 | Scrutiny | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | H | Import LC Issuance | PK2ILCI000054390 | PK2ILCI000054390 | Approval Task Level 1 | 21-04-26 | PK2 | 001043 |
| <input type="checkbox"/> | M | Export LC Amendment | PK2ELCA000055062 | PK2ELCA000055062 | Scrutiny | 21-04-29 | PK2 | 001043 |

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|-------------------------------------|----------|--|--------------------------|--------------------|----------------|------------------|--------|-----------------|
| <input checked="" type="checkbox"/> | M | Guarantee SBLC Issuance -Claim Settlement | PK2GISC000055184 | PK2GISC000055184 | DataEnrichment | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | | Guarantee SBLC Issuance -Claim Settlement | PK2GISC000055183 | PK2GISC000055183 | Registration | 21-04-30 | PK2 | 001044 |
| <input type="checkbox"/> | M | Guarantee SBLC Issuance-Claim Update | PK2GISC000054457 | PK2GISC000054457 | DataEnrichment | 21-04-27 | PK2 | 001044 |
| <input type="checkbox"/> | | Import LC Drawing Update | PK2ILCU000055130 | PK2ILCU000055130 | Registration | 21-04-12 | PK2 | 001044 |
| <input type="checkbox"/> | | Import LC Drawing | PK2ILCD0000551283 | PK2ILCD0000551283 | Registration | 21-04-12 | PK2 | 001044 |
| <input type="checkbox"/> | M | Guarantee Advise | 000GTEA000049000 | 000GTEA000049000 | Scrutiny | 21-03-12 | PK2 | |
| <input type="checkbox"/> | | Guarantee Issuance Amendment Beneficiary ... | PK2GTEI000048867 | PK2GTEI000048867 | Registration | 21-03-10 | PK2 | 000153 |
| <input type="checkbox"/> | | Import Documentary Collection Booking Up... | PK2IDCU000048836 | PK2IDCU000048836 | Registration | 21-03-10 | PK2 | 000149 |
| <input type="checkbox"/> | | Export Documentary Collection Booking Up... | PK2EDCU000048753 | PK2EDCU000048753 | Registration | 21-03-09 | PK2 | 001044 |
| <input type="checkbox"/> | | Export Documentary Collection Booking Up... | PK2EDCU000048716 | PK2EDCU000048716 | Registration | 21-03-08 | PK2 | 001044 |
| <input type="checkbox"/> | M | Guarantee Advise | PK2GTEA000048052 | PK2GTEA000048052 | DataEnrichment | 21-02-26 | PK2 | |
| <input type="checkbox"/> | M | Guarantee Issuance | PK2GTEI000048045 | PK2GTEI000048045 | DataEnrichment | 21-02-26 | PK2 | 001044 |
| <input type="checkbox"/> | M | Guarantee Issuance | PK2GTEI000048020 | PK2GTEI000048020 | DataEnrichment | 21-02-26 | PK2 | 001044 |

The Data Enrichment stage has five sections as follows:

- Main Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

The screenshot displays the Oracle SBC Issuance - Claim Settlement - Data Enrichment interface. The top navigation bar includes 'Guarantee SBL Issuance - Claim Settlement - Data Enrichment :: Application No: PK2GISC00' and various utility icons. A left sidebar contains navigation options: Main, Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is divided into two sections: 'Application Details - Main' and 'Guarantee Details'.

Application Details - Main:

- Guarantee/SBLC Number: PK2GUR21125A1XR
- Claim Serial Number: 4
- Beneficiary ID/Name: 001043 MARKS AND SPI
- Branch: PK2-PK2-Oracle Banking Trade F...
- Process Reference Number: PK2GISC000007171
- Priority: Medium
- Submission Mode: Desk
- Claim Settlement Date: May 5, 2021
- Beneficiary Reference Number: PK2GUR21125A1XR
- Issuing Bank: [Empty]
- Issuing Bank Reference Number: [Empty]
- Version: 1

Guarantee Details:

- Guarantee Type: CUST
- 30 Date of Issue: May 5, 2021
- Purpose of Message: ICCO
- 23B Expiry Type: OPEN
- 31E Date of Expiry: Nov 11, 2021
- Claim Date: May 5, 2021
- Claim Expiry Date: Nov 11, 2021
- Outstanding Currency/ Amount: GBP £9,000.00
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Applicant Bank: [Empty]
- 50 Applicant: 001044 GOODCARE PLC
- 59A Beneficiary: 001043 MARKS AND SPI
- Advising Bank: [Empty]
- Advise Through Bank: [Empty]
- Counter Guarantee Issuing Bank: [Empty]
- Local Guarantee Issuing Bank: [Empty]
- Claim Amount: GBP £1.00
- Claim Payment Amount: GBP [Empty]
- Unlinked FX rate: [Empty]
- Status: Q

At the bottom of the form, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields.

This screenshot shows a close-up of the 'Guarantee Details' section from the Oracle SBC Issuance - Claim Settlement - Data Enrichment interface. The fields are organized in a grid layout:

- Guarantee Type: CUST
- 30 Date of Issue: May 5, 2021
- Purpose of Message: ICCO
- 23B Expiry Type: OPEN
- 31E Date of Expiry: Nov 11, 2021
- Claim Date: May 5, 2021
- Claim Expiry Date: Nov 11, 2021
- Outstanding Currency/ Amount: GBP £9,000.00
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Applicant Bank: [Empty]
- 50 Applicant: 001044 GOODCARE PLC
- 59A Beneficiary: 001043 MARKS AND SPI
- Advising Bank: [Empty]
- Advise Through Bank: [Empty]
- Counter Guarantee Issuing Bank: [Empty]
- Local Guarantee Issuing Bank: [Empty]
- Claim Amount: GBP £1.00
- Claim Payment Amount: GBP [Empty]
- Unlinked FX rate: [Empty]
- Status: Q

At the bottom, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Action Buttons

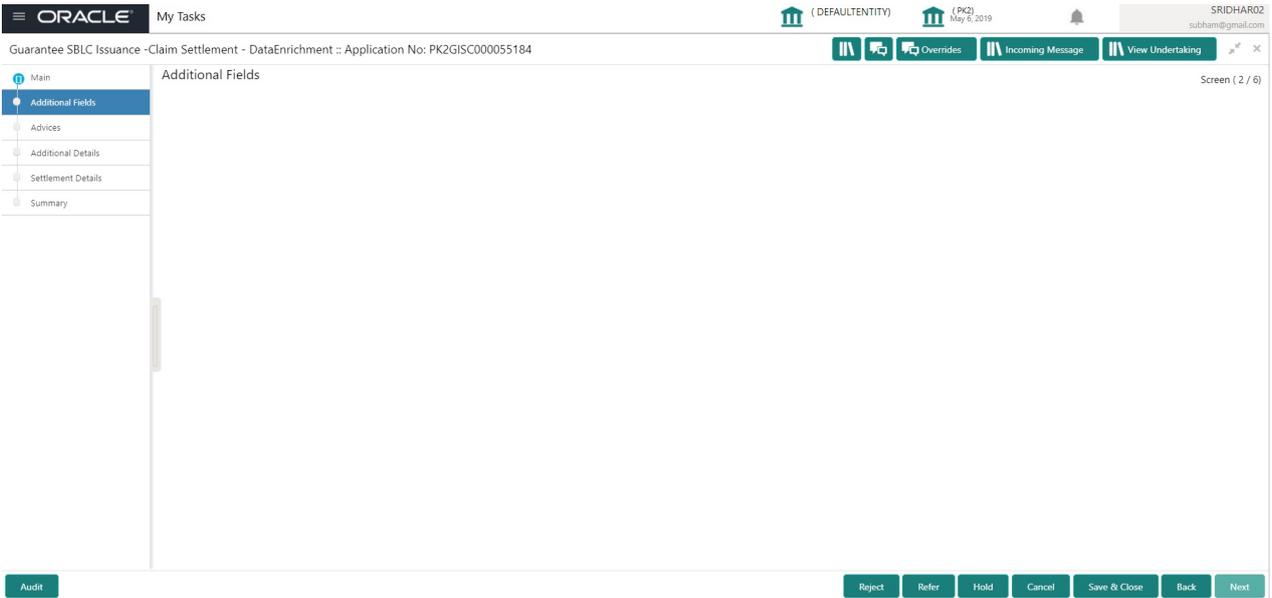
Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Documents | <p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | <p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> | |
| View Undertaking | <p>Clicking this button allows the user to view the undertaking details.</p> | |

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.



Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p> | |
| Back | <p>On clicking Back, system should move the task to the previous segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Documents | <p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> | |
| View Undertaking | <p>Clicking this button allows the user to view the undertaking details.</p> | |

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot shows the Oracle Financials interface. At the top, there's a header with 'ORACLE My Tasks' and user information. Below that, the page title is 'Guarantee SBLC Issuance - Claim Settlement - DataEnrichment :: Application No: PK2GISC000055184'. A navigation menu on the left includes 'Main', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The 'Advices' section is active, showing a pop-up for 'Advice : GUA_PAY_ADV' with details: Advice Name: GUA_PAY_ADV, Advice Party: ABK, Party Name: RBS PLC, Suppress: NO, and Advice. At the bottom, there's a toolbar with buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

The screenshot shows the 'Advice Details' dialog box. It has a 'Suppress Advice' toggle switch. Below it are fields for 'Advice Name' (GUA_CLAIM_ADV), 'Medium' (MAIL), and 'Advice Party' (APP). There are also fields for 'Party ID' (001044) and 'Party Name' (GOODCARE PLC). A section for 'FFT Code' has '+' and '-' buttons. At the bottom, there's an 'Instructions' section with 'OK' and 'Cancel' buttons.

| Field | Description | Sample Values |
|-----------------|---|---------------|
| Suppress Advice | Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments | |
| Advice Name | User can select the instruction code as a part of free text. | |
| Medium | The medium of advices is defaulted from the system. User can update if required. | |
| Advice Party | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |
| Party ID | Value be defaulted from Guarantee /SBLC Issuance. User can update if required. | |

| Field | Description | Sample Values |
|---|---|---------------|
| Party Name | Read only field. Value be defaulted from Guarantee /SBLC Issuance. | |
| Free Format Text | | |
| FFT Code | User can select the FFT code as a part of free text. | |
| FFT Description | FFT description is populated based on the FFT code selected. | |
|  | Click plus icon to add new FFT code. | |
|  | Click minus icon to remove any existing FFT code. | |
| Instruction Details | | |
| Instruction Code | User can select the instruction code as a part of free text. | |
| Instruction Description | Instruction description is populated based on the FFT code selected. | |
|  | Click plus icon to add new instruction code. | |
|  | Click minus icon to remove any existing instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p> | |
| Back | <p>On clicking Back, system should move the task to the previous segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Documents | Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. | |
| View Undertaking | Clicking this button allows the user to view the undertaking details. | |

Additional Details

As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

Charge Details

On landing the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

| Component | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|------------|----------|--------|----------|-------------------------------------|-------------------------------------|--------------------------|--------------|--------------------|
| LCCOURAMND | GBP | £50.00 | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | | ADD000714026 |
| LCSWIFTAMN | GBP | £50.00 | | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | | 0002008977575 |

Provide the Charge Details based on the description provided in the following table:

| Field | Description | Sample Values |
|-----------|---|---------------|
| Component | Charge Component type. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required. | |
| Modified | From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field. | |
| Billing | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Defer | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation</p> | |

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Waive | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Commission Details

| Component | Rate | Modified | Currency | Amount | Modified | Defer | Waive | Charge Party | Settlement Account |
|---------------------|------|----------|----------|--------|----------|-------|-------|--------------|--------------------|
| No data to display. | | | | | | | | | |

Provide the Commission Details based on the description provided in the following table:

| Field | Description | Sample Values |
|-----------------|---|---------------|
| Component | Select the commission component | |
| Rate | Defaults from product. User can change the rate, if required. | |
| Currency | Defaults the currency in which the commission has to be collected. | |
| Amount | An amount that is maintained under the product code defaults in this field. User can modify the value, if required. | |
| Modified Amount | From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field. | |
| Billing | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | Select the check box, if charges/commissions has to be deferred and collected at any future step. | |

| Field | Description | Sample Values |
|--------------------|---|---------------|
| Waive | Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the user changes the defaulted Commission to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary. | |
| Settlement Account | Details of the Settlement Account. | |

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax Details

| Component | Currency | Amount | Billing | Defer | Settlement Account |
|-----------|----------|---------|--------------------------|--------------------------|--------------------|
| LCTAX | GBP | £720.00 | <input type="checkbox"/> | <input type="checkbox"/> | PK20010440017 |
| LCTAX1 | GBP | £0.00 | <input type="checkbox"/> | <input type="checkbox"/> | PK20010440017 |
| LCTAX2 | GBP | £2.85 | <input type="checkbox"/> | <input type="checkbox"/> | PK20010440017 |

Save & Close Close

Following Tax Details will be displayed:

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Component | Tax Component type. | |
| Currency | The tax currency is the same as the commission. | |
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Settlement Account | Details of the settlement account. | |

Collateral

System displays the collateral mapped at the time of Guarantee Issuance.

Collateral Details

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message | Edit | Delete |
|-----------------|--------------|----------|---------------------|--------------------|--------------------------------|------------------|-----------------|--------|
| Cash Collateral | 10 | GBP | US\$790.00 | PK20010440017 | | | Cash Collateral | |

Save & Close Close

Collateral Details ✕

| | |
|--|---|
| Collateral Type * <input type="text" value="Cash Collateral"/> | Collateral % * <input type="text" value="10"/> |
| Currency <input type="text" value="GBP"/> | Contribution Amount * <input type="text" value="£3,000.00"/> |
| Settlement Account * <input type="text" value="2030013450000000010"/> | Settlement Account Branch <input type="text" value="203"/> |
| Settlement Account Currency <input type="text" value="GBP"/> | Account Available Amount <input type="text" value="£6,938,234.82"/> |
| Response <input type="text" value="Available"/> | Response Message <input type="text" value="The amount block can be performed as"/> |

Provide the collateral details based on the description provided in the following table:

| Field | Description | Sample Values |
|--|---|---------------|
| Edit Link | Click edit link to edit any existing Collateral Details. | |
| Plus Icon  | Click plus icon to add new Collateral Details. | |
| Minus Icon  | Click minus icon to remove any existing Collateral Details. | |
| Collateral Type | Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits. | |
| Collateral % | User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. | |
| Currency | The guarantee currency will get defaulted in this field. | |

| Field | Description | Sample Values |
|-----------------------------|---|---------------|
| Contribution Amount | Collateral contribution amount will get defaulted in this field. | |
| Settlement Account | Select the settlement account for the collateral. | |
| Settlement Account Branch | Settlement Account Branch will be auto-populated based on the Settlement Account selection. | |
| Settlement Account Currency | Select the Settlement Account Currency. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Message

Preview - SWIFT Message

Language: English | Message Type: 760

Preview Message

```
{1:F01AAEMNL21A00X111111111}
{2:I760RBOSGB2LXXXXN}
{3:{108:1262160787875104}}
{4:
:15A:
:27:1/1
:22A:SSU
:15B:
:20:PK2GUIR19126BOKT
:30:190506
:22D:DGAR
:40C:URDG
:23B:FIXD
:31E:210630
:50:MARKS AND SPENCER
MARGUS2SXXX
```

Preview - Mail Advice

Language: English | Advice Type: MARKS AND SPENCER

Preview Message

```
GUARANTEE CLAIM ADVICE

Branch Name FLEXCUBE UNIVERSAL BANK
Branch Address 1 Unit 1
Branch Address 2 Block A
Branch Address 3 California
Country GB

Date 06-MAY-19
PAGE: 1

TO
APPLICANT MARKS AND SPENCER
Address 1 MARGUS2SXXX
Address 2
```

Save & Close Close

The Preview section consists of following.

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Preview SWIFT Message | | |
| Language | Select the language for the SWIFT message. | |
| Message Type | Select the message type. | |
| Preview Message | Display a preview of the draft message. | |
| Preview Mail Device | | |
| Language | Select the language for the advice message. | |
| Advice Type | Select the advice type. | |
| Message Type | Display a preview of the advice. | |
| Preview Message | This toggle enables the user to select if draft confirmation is required or not | |

Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details.

The user can scrutinize the claim settlement request and input data as required.

Provide the payment details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------------------|--|---------------|
| Liquidate using Collateral | If the claim settlement has to be paid, vide collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation. | |
| Outstanding Collateral Amount | Read Only field. System defaults the outstanding collateral amount (if mapped). | |

| Field | Description | Sample Values |
|-------------------------|---|---------------|
| Advance by Loan | If the claim settlement has to be paid, vide loan the user has to select the Advance by loan. | |
| Settle Available Amount | If partial settlement amount is debited from applicant and the balance have to be settled against loan, user to select this option. | |

Loan Preferences

User can capture the loan preferences details in this tab.

Provide the loan preference details based on the description in the following table:

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Product Code | Read only field. This field displays the loan product linked to the product. | |
| Customer ID | Read only field. This field displays the customer ID of the applicant/applicant bank. | |
| Customer Name | Read only field. This field displays the applicant/applicant bank name. | |
| Bill Currency - Amount | Read only field. This field displays the bill currency. | |
| Credit Line | Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines. | |
| Tenor Type | Application defaults the loan tenor based on the product. | |
| Loan Tenor Units | Application defaults the loan tenor units based on the product. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Exchange Rate | This field will be enabled only if the claim currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable. | |
| Loan Currency-Amount | Select the currency for the loan amount. | |
| Loan Maturity Date | System defaults the date based on the Loan value date and Loan tenor. User cannot change the value. | |

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

The screenshot shows the 'FX Linkage' window. At the top, there are fields for 'Drawing Currency' (set to GBP) and 'Drawing Maturity Date' (set to Mar 22, 2019). Below these is a table with columns: Contract Currency, Contract Available Amount, Rate, Amount in Contract Currency, Expiry Date, Delivery Period From, and Delivery Period To. The table currently contains the text 'No data to display.' At the bottom right, there are buttons for 'Save & Close' and 'Cancel'.

Provide the FX linkage detail based on the description in the following table:

| Field | Description | Sample Values |
|------------------|--|---------------|
| Drawing Currency | Read only field. This field displays the currency details from the drawing. | |
| Drawing Amount | Read only field. This field displays the drawing amount from the drawing. | |

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Drawing Maturity Date | System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment). | |
| Contract Currency | This field displays the currency of the FX contract. | |
| Contract Available Amount | This field displays the unlinked/ available amount under the FX contract. | |
| Rate | This field displays the rate at which the contract is booked. | |
| Amount in Contract Currency | This field displays the amount in contract currency converted from FX currency. | |
| Expiry Date | This field displays the expiry date of the contract. | |
| Delivery Period From | Start date of the delivery period. | |
| Delivery Period To | End date of the delivery period. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p> | |
| Back | <p>On clicking Back, system should move the task to the previous segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Documents | <p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> | |
| View Undertaking | <p>Clicking this button allows the user to view the undertaking details.</p> | |

Settlement Details

Guarantee SBLC Issuance - Claim Settlement - DataEnrichment :: Application No: PK2GISCO00003773

Overrides Incoming Message View Undertaking

- Main
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details Screen (5 / 6)

Current Event

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
|------------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|
| AGUIR_COM1_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| AGUIR_COMM_LIQD | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| AVL_SET_LCAMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| AVL_SET_LCAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| CLAIM_SETTLE_AMT | GBP | Credit | PK20037630047 | CITIBANK IRELAND | GBP | No | Yes |
| COLLAMT_OSEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| COLL_AMNDAMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| COLL_AMTEQ | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| COLL_AVALAMTEQ | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| COLL_REFUND | GBP | Credit | PK20010440017 | GOODCARE PLC | GBP | No | Yes |

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|---|---------------|
| Current Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Currency | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Debit/Credit | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Account | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Account Description | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Account Currency | Read only field. System defaults the value from Guarantee /SBLC claim. | |

| Field | Description | Sample Values |
|-------------------|---|---------------|
| Netting Indicator | Read only field. System defaults the value from Guarantee /SBLC claim. | |
| Current Event | System displays the current event as Y or N. | |

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

| Field | Description | Sample Values |
|--|---|---------------|
| Transfer Type | Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer | |
| Charge Details | Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges | |
| Netting Indicator | Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No | |
| Ordering Customer | Select the ordering customer from the LOV. | |
| Ordering Institution | Select the ordering institution from the LOV. | |
| Senders Correspondent | Select the senders correspondent from the LOV. | |
| Receivers Correspondent | Select the receivers correspondent from the LOV. | |
| Intermediary Institution | Select the intermediary institution from the LOV. | |
| Account with Institution | Select the account with institution from the LOV. | |
| Beneficiary Institution | Select the beneficiary institution from the LOV. | |
| Ultimate Beneficiary | Select the ultimate beneficiary from the LOV. | |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV. | |

Payment Details

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. | |
| Sender to Receiver 2 | Provide the sender to receiver message. | |
| Sender to Receiver 3 | Provide the sender to receiver message. | |
| Sender to Receiver 4 | Provide the sender to receiver message. | |
| Sender to Receiver 5 | Provide the sender to receiver message. | |
| Sender to Receiver 6 | Provide the sender to receiver message. | |

Remittance Information

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. | |
| Payment Detail 2 | Provide the payment details. | |
| Payment Detail 3 | Provide the payment details. | |
| Payment Detail 4 | Provide the payment details. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p> | |
| Back | <p>On clicking Back, system should move the task to the previous segment.</p> | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |
| Documents | <p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p> | |
| Remarks | <p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p> | |
| Overrides | <p>Click to view overrides, if any.</p> | |
| Incoming Message | <p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> | |
| View Undertaking | <p>Clicking this button allows the user to view the undertaking details.</p> | |

Summary

User can review the summary screen for Guarantee /Standby Claim settlement request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

The screenshot shows the Oracle application interface for a Guarantee SBLC Issuance. The main content area is titled "Summary" and contains a grid of summary tiles. The tiles are organized into three rows and four columns. The first row contains "Main", "Additional Fields", "Advices", and "Commission, Charges and taxes". The second row contains "Preview Messages", "Payment Details", "Settlement Details", and "Party Details". The third row contains "Compliance" and "Accounting Details". Each tile displays key information such as booking dates, submission modes, amounts, and various identifiers. The interface also features a navigation menu on the left, a top header with the Oracle logo and user details, and a bottom toolbar with buttons for "Audit", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", "Next", and "Submit".

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- Loan Preferences – User can view the loan preferences.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Compliance – The compliance tile has the KYC, Sanctions and AML.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|--|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Cancel | <p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p> | |
| Save & Close | <p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p> | |
| Back | <p>On clicking Back, system should move the task to the previous segment.</p> | |
| Submit | <p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p> | |

| Field | Description | Sample Values |
|------------------|---|---------------|
| Documents | Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents. | |
| Remarks | Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users. | |
| Overrides | Click to view overrides, if any. | |
| Incoming Message | Clicking this button allows the user to see the message in case of STP of incoming MT 767. | |
| View Undertaking | Clicking this button allows the user to view the undertaking details. | |

Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Claim Amount

✓

Claim Currency

✓

Expiry Date

✓

Refer
Close
Proceed

Approval Summary

ORACLE
My Tasks

(DEFAULTENTITY)
(PK2) May 6, 2019
SRIDHAR02
subham@gmail.com

Guarantee SBLC Issuance - Claim Settlement - Approval Task Level 1 :: Application No: PK2GIS00005184

Overrides
Incoming Message
View Undertaking

Summary Screen (2 / 2)

| Main | Additional Fields | Advices | Commission, Charges and taxes |
|--|---|---|--|
| Booking Date : 2019-05-06 Submission Mode : Desk Amount : GBP 200 | Click here to view Additional fields | Advice 1 : Advice 2 : | Charge : Commission : Tax : Block Status : Not Initia |
| Preview Messages | Payment Details | Settlement Details | Party Details |
| Language : ENG Preview Message : - | Advance by Loan : Liquidate using : Collateral | Component : Account Number : Currency : | Advising Bank : WELLS FARG Applicant : GOODCARE PLC Beneficiary : MARKS AND |
| Compliance | Accounting Details | | |
| KYC : Verified Sanctions : Verified AML : Verified | Event : BISS Account Number : 412000001 Branch : PK2 | | |

Audit

Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- Loan Preferences – User can view the loan preferences.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.

- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others | |
| Cancel | <p>Cancel the Guarantee Issuance approval.</p> | |

| Field | Description | Sample Values |
|---------|--|---------------|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting. | |

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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