

Guarantee SBLC Issuance Claim Settlement User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Issuance Claim Settlement User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Issuance Claim Settlement

As a part of Guarantee Issuance Claim Settlement process, the user can initiate Settlement of Claim under a Guarantee/SBLC, when a valid claim is received from the Beneficiary or the Bank that is authorized by the Guarantor to raise a claim under the Guarantee/SBLC.

This section contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new update a claim lodged under a Guarantee/SBLC Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

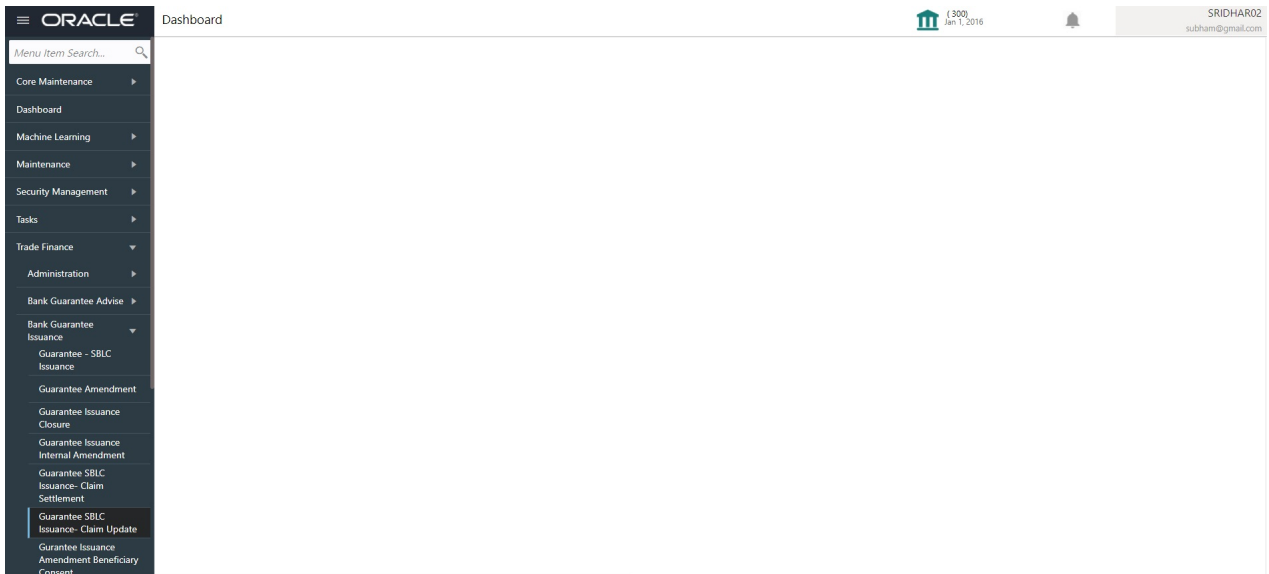
During the Registration stage, the user can register settlement of claim under a Guarantee/SBLC Issued.

In this stage the user can initiate Settlement of Claim under a Guarantee/ SBLC. The user can capture the basic details of the application.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance**> **Bank Guarantee Issuance** > **Guarantee SBLC Issuance - Claim Update**.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

Application Details

Guarantee SBLC Issuance- Claim Settlement

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number: PK2GUIR21125A1XR

Claim Serial Number: 4

Beneficiary ID/Name: 001043 MARKS AND SP

Branch: PK2-PK2-Oracle Banking Trade F...

Process Reference Number: PK2GISCO00007171

Priority: Medium

Submission Mode: Desk

Claim Settlement Date: May 5, 2021

Beneficiary Reference Number: PK2GUIR21125A1XR

Issuing Bank Reference Number: [Empty]

Version: 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type: CUST

30 Date of Issue: May 5, 2021

Purpose of Message: ICCO

23B Expiry Type: OPEN

31E Date of Expiry: Nov 11, 2021

Claim Date: May 5, 2021

Claim Expiry Date: Nov 11, 2021

Outstanding Currency/ Amount: GBP £9,000.00

40C Applicable Rules: URDG - Uniform rules for dema...

Applicant Bank: 001044 GOODCARE PLC

59A Beneficiary: 001043 MARKS AND SP

Advising Bank: [Empty]

Advise Through Bank: [Empty]

Counter Guarantee Issuing Bank: [Empty]

Local Guarantee Issuing Bank: [Empty]

Claim Amount: GBP £1.00

Claim Payment Amount: GBP [Empty]


Unlinked FX rate: [Empty]

Hold Cancel Save & Close Submit

The request is received at the Branch/ Front office or Processing center. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Claim Serial Number	User can enter the claim serial number to which update has to be done.	

Field	Description	Sample Values
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC claim.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Issuance.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email	Desk
Claim Settlement Date	By default, the application will display branch's current date for the claim settlement date. Read only field.  Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	Read only field. System defaults the Beneficiary Reference Number from Guarantee/ SBLC claim.	
Issuing Bank	Read only field.	
Issuing Bank Reference Number	Read only field.	203GTEISS000 001134
Version	System defaults the version number.	

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field. System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance.	09/30/18
Claim Date	System defaults the claim date from Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	System defaults the claim expiry date from Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle

Field	Description	Sample Values
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	
Claim Amount	Read only field. System defaults the claim amount from the Guarantee Claim Lodgment.	
Claim Payment Amount	User can enter the claim payment amount.	
Unlinked FX rate	If claim currency is different from local currency system will display the unlinked FX rate.	

Miscellaneous

Guarantee SBLC Issuance- Claim Settlement

Documents Remarks Customer Instruction

Application Details - Main

Guarantee/SBLC Number PK2GUR21125A1XR	Claim Serial Number 4	Beneficiary ID/Name 001043 MARKS AND SP	Branch PK2-PK2-Oracle Banking Trade F...
Process Reference Number PK2GISC000007171	Priority Medium	Submission Mode Desk	Claim Settlement Date May 5, 2021
Beneficiary Reference Number PK2GUR21125A1XR	Issuing Bank	Issuing Bank Reference Number	Version 1

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

Guarantee Type CUST	30 Date of Issue May 5, 2021	Purpose of Message ICCO	23B Expiry Type OPEN
31E Date of Expiry Nov 11, 2021	Claim Date May 5, 2021	Claim Expiry Date Nov 11, 2021	Outstanding Currency/ Amount GBP £9,000.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 001044 GOODCARE PLC	59A Beneficiary 001043 MARKS AND SP
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Claim Amount GBP £1.00	Claim Payment Amount GBP	Unlinked FX rate	Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	The Task gets cancelled and system should clear the details captured in the screen. The task will be deleted.	
Save & Close	Save the information provided and holds the task in 'My Task' for working later. This option will not submit the request.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Mandatory claim Documents received 	

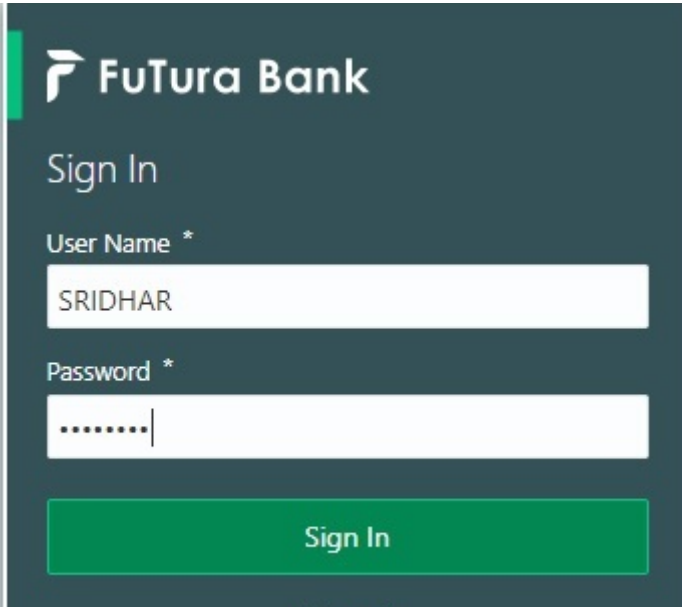
Data Enrichment

On successful completion of Registration of a Guarantee SBLC Claim settlement request, the request moves to Data Enrichment stage. At this stage the bank user can update the various claim fields. The user can input the transaction details.

At this stage the gathered information during Registration stage and claim settlement request are scrutinized and enter the data as required.

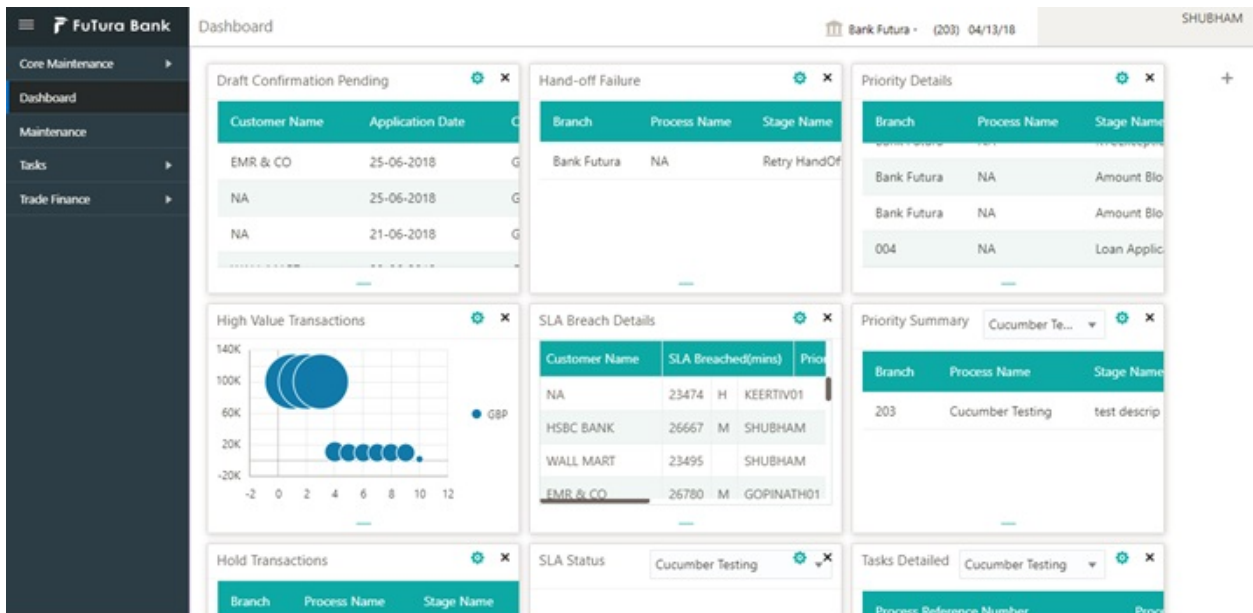
Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features the bank's logo and name at the top. Below that, the text "Sign In" is displayed. There are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image shows the dashboard of the FuTura Bank application. The dashboard is titled "Dashboard" and includes a navigation menu on the left with options like "Core Maintenance", "Dashboard", "Maintenance", "Tasks", and "Trade Finance". The main content area displays several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name", "Application Date", and "Status". Data rows include "EMR & CO" (25-06-2018), "NA" (25-06-2018), and "NA" (21-06-2018).
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "Bank Futura", "NA", "Retry HandOf".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name". Data rows include "Bank Futura", "NA", "Amount Blo" and "004", "NA", "Loan Applic".
- High Value Transactions:** A bubble chart showing transaction values for different currencies, with "GBP" highlighted.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority". Data rows include "NA" (23474, H, KEERTIV01), "HSBC BANK" (26667, M, SHUBHAM), "WALL MART" (23495, SHUBHAM), and "EMR & CO" (26780, M, GOPINATH01).
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name". Data row: "203", "Cucumber Testing", "test descrip".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A dropdown menu showing "Cucumber Testing".
- Tasks Detailed:** A table with columns "Process Reference Number" and "Process Name".

3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Nu
Acquire & E...	M	Guarantee SBLC Issuance -Claim Settlement	PK2GISC000055184	PK2GISC000055184	DataEnrichment	21-04-30	PK2	001044
Acquire & E...	M	Export LC Advise	PK2ELCA000055188	PK2ELCA000055188	Registration	21-04-30	PK2	001044
Acquire & E...		Import LC Issuance	PK2ILCI000054989	PK2ILCI000054989	Reject Approval	21-04-29	PK2	001044
Acquire & E...	M	Import LC Issuance	PK2ILCI000055155	PK2ILCI000055155	Scrutiny	21-04-30	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000055146	PK2ILCI000055146	Handoff RetryTask	21-04-30	PK2	001043
Acquire & E...	M	Import LC Drawing	PK2ILCD000055142	PK2ILCD000055142	Scrutiny	21-04-30	PK2	001044
Acquire & E...	M	Import LC Issuance	PK2ILCI000055141	PK2ILCI000055141	DataEnrichment	21-04-30	PK2	001044
Acquire & E...	M	Export LC Amendment	PK2ELCA000055130	PK2ELCA000055130	Scrutiny	21-04-30	PK2	000156
Acquire & E...	M	Export LC Advise	PK2ELCA000055102	PK2ELCA000055102	Scrutiny	21-04-30	PK2	001044
Acquire & E...	M	Import LC Drawing Update	PK2ILCU000055100	PK2ILCU000055100	Scrutiny	21-04-30	PK2	001044
Acquire & E...	M	Import LC Drawing Update	PK2ILCU000055099	PK2ILCU000055099	Scrutiny	21-04-30	PK2	001044
Acquire & E...	H	Import LC Issuance	PK2ILCI000054390	PK2ILCI000054390	Approval Task Level 1	21-04-26	PK2	001043
Acquire & E...	M	Export LC Amendment	PK2ELCA000055062	PK2ELCA000055062	Scrutiny	21-04-29	PK2	001043

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	M	Guarantee SBLC Issuance -Claim Settlement	PK2GISC000055184	PK2GISC000055184	DataEnrichment	21-04-30	PK2	001044
Edit		Guarantee SBLC Issuance -Claim Settlement	PK2GISC000055183	PK2GISC000055183	Registration	21-04-30	PK2	001044
Edit	M	Guarantee SBLC Issuance-Claim Update	PK2GISC000054457	PK2GISC000054457	DataEnrichment	21-04-27	PK2	001044
Edit		Import LC Drawing Update	PK2ILCU000055130	PK2ILCU000055130	Registration	21-04-12	PK2	001044
Edit		Import LC Drawing	PK2ILCD0000551283	PK2ILCD0000551283	Registration	21-04-12	PK2	001044
Edit	M	Guarantee Advise	000GTEA000049000	000GTEA000049000	Scrutiny	21-03-12	PK2	
Edit		Guarantee Issuance Amendment Beneficiary ...	PK2GTEI000048867	PK2GTEI000048867	Registration	21-03-10	PK2	000153
Edit		Import Documentary Collection Booking Up...	PK2IDCU000048836	PK2IDCU000048836	Registration	21-03-10	PK2	000149
Edit		Export Documentary Collection Booking Up...	PK2EDCU000048753	PK2EDCU000048753	Registration	21-03-09	PK2	001044
Edit		Export Documentary Collection Booking Up...	PK2EDCU000048716	PK2EDCU000048716	Registration	21-03-08	PK2	001044
Edit	M	Guarantee Advise	PK2GTEA000048052	PK2GTEA000048052	DataEnrichment	21-02-26	PK2	
Edit	M	Guarantee Issuance	PK2GTEI000048045	PK2GTEI000048045	DataEnrichment	21-02-26	PK2	001044
Edit	M	Guarantee Issuance	PK2GTEI000048020	PK2GTEI000048020	DataEnrichment	21-02-26	PK2	001044

The Data Enrichment stage has five sections as follows:

- Main Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of settlement of claim under Guarantee/SBLC - Data Enrichment Stage.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) in the Registration stage for more information of the fields.

The screenshot displays the Oracle SBL Issuance - Claim Settlement - Data Enrichment interface. The top navigation bar includes 'Guarantee SBL Issuance - Claim Settlement - Data Enrichment :: Application No: PK2GISC00' and various utility icons. The main content area is divided into two sections: 'Application Details - Main' and 'Guarantee Details'.

Application Details - Main:

- Guarantee/SBLC Number: PK2GUR21125A1XR
- Claim Serial Number: 4
- Beneficiary ID/Name: 001043 MARKS AND SPI
- Branch: PK2-PK2-Oracle Banking Trade F...
- Process Reference Number: PK2GISC000007171
- Priority: Medium
- Submission Mode: Desk
- Claim Settlement Date: May 5, 2021
- Beneficiary Reference Number: PK2GUR21125A1XR
- Issuing Bank: [Empty]
- Issuing Bank Reference Number: [Empty]
- Version: 1

Guarantee Details:

- Guarantee Type: CUST
- 30 Date of Issue: May 5, 2021
- Purpose of Message: ICCO
- 23B Expiry Type: OPEN
- 31E Date of Expiry: Nov 11, 2021
- Claim Date: May 5, 2021
- Claim Expiry Date: Nov 11, 2021
- Outstanding Currency/ Amount: GBP £9,000.00
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Applicant Bank: [Empty]
- 50 Applicant: 001044 GOODCARE PLC
- 59A Beneficiary: 001043 MARKS AND SPI
- Advising Bank: [Empty]
- Advise Through Bank: [Empty]
- Counter Guarantee Issuing Bank: [Empty]
- Local Guarantee Issuing Bank: [Empty]
- Claim Amount: GBP £1.00
- Claim Payment Amount: GBP [Empty]
- Unlinked FX rate: [Empty]
- Status: Q

The interface includes an 'Audit' button on the left and a row of action buttons (Reject, Refer, Hold, Cancel, Save & Close, Back, Next) at the bottom.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields.

This screenshot provides a closer view of the 'Guarantee Details' section from the previous image. It shows the following fields and values:

- Guarantee Type: CUST
- 30 Date of Issue: May 5, 2021
- Purpose of Message: ICCO
- 23B Expiry Type: OPEN
- 31E Date of Expiry: Nov 11, 2021
- Claim Date: May 5, 2021
- Claim Expiry Date: Nov 11, 2021
- Outstanding Currency/ Amount: GBP £9,000.00
- 40C Applicable Rules: URDG - Uniform rules for dema...
- Applicant Bank: [Empty]
- 50 Applicant: 001044 GOODCARE PLC
- 59A Beneficiary: 001043 MARKS AND SPI
- Advising Bank: [Empty]
- Advise Through Bank: [Empty]
- Counter Guarantee Issuing Bank: [Empty]
- Local Guarantee Issuing Bank: [Empty]
- Claim Amount: GBP £1.00
- Claim Payment Amount: GBP [Empty]
- Unlinked FX rate: [Empty]
- Status: Q

The 'Audit' button is visible on the left, and the action buttons (Reject, Refer, Hold, Cancel, Save & Close, Back, Next) are at the bottom.

Action Buttons

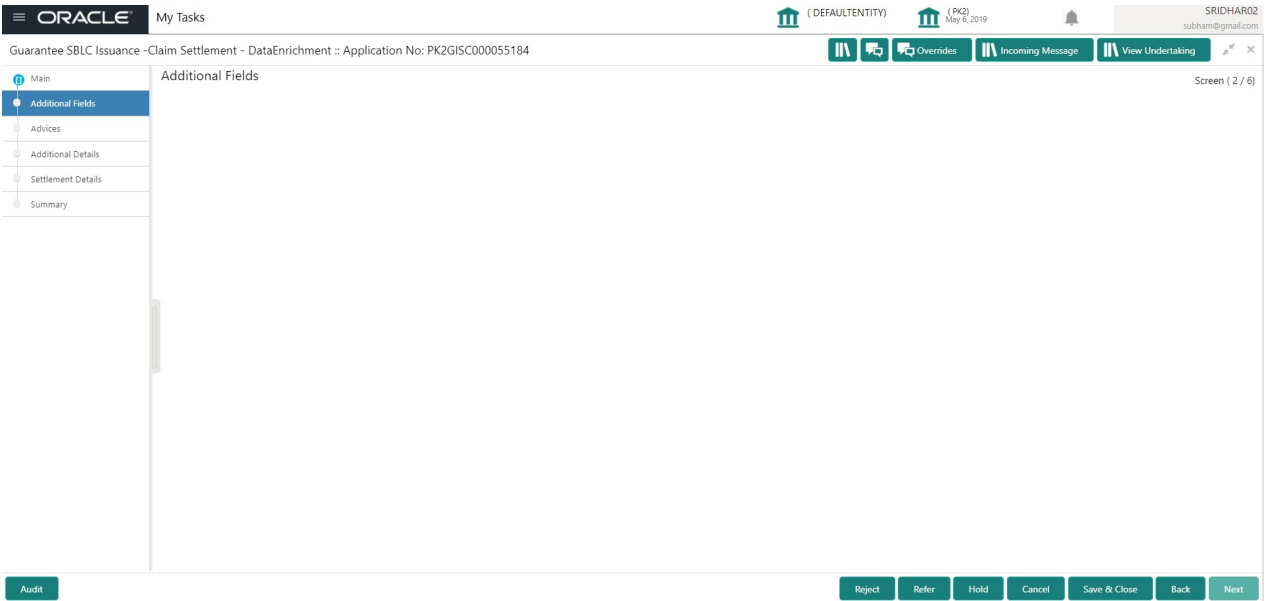
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

Advices





This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The screenshot shows the Oracle Financials user interface. At the top, there's a header with 'ORACLE My Tasks' and user information. Below that, a breadcrumb trail reads 'Guarantee SBLC Issuance - Claim Settlement - DataEnrichment :: Application No: PK2GISC000055184'. A navigation menu on the left lists 'Main', 'Additional Fields', 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The 'Advices' section is active, displaying a pop-up for 'Advice : GUA_PAY_ADV' with the following details: Advice Name: GUA_PAY_ADV, Advice Party: ABK, Party Name: RBS PLC, Suppress: NO, and Advice. At the bottom, there's a toolbar with buttons: 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

The user can also suppress the Advice, if required.

The 'Advice Details' dialog box is shown. It has a 'Suppress Advice' toggle switch. The fields are: Advice Name: GUA_CLAIM_ADV, Medium: MAIL, Advice Party: APP, Party ID: 001044, and Party Name: GOODCARE PLC. There are also sections for 'FFT Code' (with '+' and '-' buttons) and 'Instructions' (with 'OK' and 'Cancel' buttons).

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Field	Description	Sample Values
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Additional Details

As a part of Additional details section, Guarantee /Standby claim settlement may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

Charge Details

On landing the Additional Details section, the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURAMND	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		ADD000714026
LCSWIFTAMN	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		0002008977575

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation</p>	

Field	Description	Sample Values
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>If the user changes the defaulted charging to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

The values gets defaulted, In the Commission Details section, If default commission is maintained under the product.

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission has to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the user changes the defaulted Commission to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' placeholder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
LCTAX	GBP	£720.00	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017
LCTAX1	GBP	£0.00	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017
LCTAX2	GBP	£2.85	<input type="checkbox"/>	<input type="checkbox"/>	PK20010440017

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Collateral

System displays the collateral mapped at the time of Guarantee Issuance.

Collateral Details



Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	10	GBP	US\$790.00	PK20010440017			Cash Collateral	

Save & Close Close

Collateral Details ✕

Collateral Type * <input type="text" value="Cash Collateral"/>	Collateral % * <input type="text" value="10"/>
Currency <input type="text" value="GBP"/>	Contribution Amount * <input type="text" value="£3,000.00"/>
Settlement Account * <input type="text" value="2030013450000000010"/>	Settlement Account Branch <input type="text" value="203"/>
Settlement Account Currency <input type="text" value="GBP"/>	Account Available Amount <input type="text" value="£6,938,234.82"/>
Response <input type="text" value="Available"/>	Response Message <input type="text" value="The amount block can be performed as"/>

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Edit Link	Click edit link to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The guarantee currency will get defaulted in this field.	

Field	Description	Sample Values
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview Message

Preview - SWIFT Message

Language: English | Message Type: 760

Preview Message

```
{1:F01AAEMNL21A00X111111111}
{2:I760RBOSGB2LXXXXN}
{3:{108:1262160787875104}}
{4:
:15A:
:27:1/1
:22A:SSU
:15B:
:20:PK2GUIR19126BOKT
:30:190506
:22D:DGAR
:40C:URDG
:23B:FIXD
:31E:210630
:50:MARKS AND SPENCER
MARGUS2SXXX
```

Preview - Mail Advice

Language: English | Advice Type: MARKS AND SPENCER

Preview Message

```
GUARANTEE CLAIM ADVICE
Branch Name FLEXCUBE UNIVERSAL BANK
Branch Address 1 Unit 1
Branch Address 2 Block A
Branch Address 3 California
Country GB
Date 06-MAY-19
PAGE: 1
TO
APPLICANT MARKS AND SPENCER
Address 1 MARGUS2SXXX
Address 2
```

Save & Close Close

The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

Payment Details

As part of DE, the bank user can capture the payment details under a claim. The user can also be able to input the transaction details.

The user can scrutinize the claim settlement request and input data as required.

Provide the payment details based on the description in the following table:

Field	Description	Sample Values
Liquidate using Collateral	If the claim settlement has to be paid, vide collateral the user has to select the Liquidate using collateral. User can liquidate using collateral only if collateral has been mapped at the time of Guarantee Issuance. In case collateral not mapped during issuance, user cannot add collaterals and use the same during liquidation.	
Outstanding Collateral Amount	Read Only field. System defaults the outstanding collateral amount (if mapped).	

Field	Description	Sample Values
Advance by Loan	If the claim settlement has to be paid, vide loan the user has to select the Advance by loan.	
Settle Available Amount	If partial settlement amount is debited from applicant and the balance have to be settled against loan, user to select this option.	

Loan Preferences

User can capture the loan preferences details in this tab.

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Product Code	Read only field. This field displays the loan product linked to the product.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	
Customer Name	Read only field. This field displays the applicant/applicant bank name.	
Bill Currency - Amount	Read only field. This field displays the bill currency.	
Credit Line	Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines.	
Tenor Type	Application defaults the loan tenor based on the product.	
Loan Tenor Units	Application defaults the loan tenor units based on the product.	

Field	Description	Sample Values
Exchange Rate	This field will be enabled only if the claim currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	
Loan Currency-Amount	Select the currency for the loan amount.	
Loan Maturity Date	System defaults the date based on the Loan value date and Loan tenor. User cannot change the value.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Drawing Currency	Read only field. This field displays the currency details from the drawing.	
Drawing Amount	Read only field. This field displays the drawing amount from the drawing.	

Field	Description	Sample Values
Drawing Maturity Date	System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
Contract Currency	This field displays the currency of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Expiry Date	This field displays the expiry date of the contract.	
Delivery Period From	Start date of the delivery period.	
Delivery Period To	End date of the delivery period.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

Settlement Details

Guarantee SBLC Issuance - Claim Settlement - DataEnrichment :: Application No: PK2GISCO00003773

Overrides Incoming Message View Undertaking

- 1 Main
- 2 Additional Fields
- 3 Advices
- 4 Additional Details
- 5 Settlement Details**
- 6 Summary

Settlement Details Screen (5 / 6)

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AGUIR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
AGUIR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
AVL_SET_LCAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
AVL_SET_LCAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
CLAIM_SETTLE_AMT	GBP	Credit	PK20037630047	CITIBANK IRELAND	GBP	No	Yes
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_REFUND	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the value from Guarantee /SBLC claim.	
Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	
Debit/Credit	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Description	Read only field. System defaults the value from Guarantee /SBLC claim.	
Account Currency	Read only field. System defaults the value from Guarantee /SBLC claim.	

Field	Description	Sample Values
Netting Indicator	Read only field. System defaults the value from Guarantee /SBLC claim.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">● R1- Documents missing● R2- Signature Missing● R3- Input Error● R4- Insufficient Balance/Limits● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	
Documents	<p>Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p>	
View Undertaking	<p>Clicking this button allows the user to view the undertaking details.</p>	

Summary

User can review the summary screen for Guarantee /Standby Claim settlement request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

The screenshot shows the Oracle application interface for a Guarantee SBLC Issuance. The main content area is titled "Summary" and contains a grid of summary tiles. The tiles are organized into three rows and four columns. The first row contains "Main", "Additional Fields", "Advices", and "Commission, Charges and taxes". The second row contains "Preview Messages", "Payment Details", "Settlement Details", and "Party Details". The third row contains "Compliance" and "Accounting Details". Each tile displays key information such as booking dates, submission modes, amounts, and various identifiers. The interface also features a navigation menu on the left, a top header with the Oracle logo and user details, and a bottom toolbar with buttons for "Audit", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", "Next", and "Submit".

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Payment Details - User can view the payment details.
- Loan Preferences – User can view the loan preferences.
- FX Linkage – User can view the FX Linkages.
- Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.
- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
- Accounting Entries - User can see the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

- Compliance – The compliance tile has the KYC, Sanctions and AML.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Submit	<p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p>	

Field	Description	Sample Values
Documents	Click the Document icon to view the Documents already uploaded as part of Registration stage and also can upload additional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user to view the undertaking details.	

Multi Level Approval

This stage allows the approver user to approve a Claim settlement under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Name
- Beneficiary Name
- Undertaking Currency
- Undertaking Amount
- Expiry Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Claim Amount

✓

Claim Currency

✓

Expiry Date

✓

Refer
Close
Proceed

Approval Summary

ORACLE My Tasks
(DEFAULTENTITY) (PK2) May 6, 2019
SRIDHAR02 subham@gmail.com

Guarantee SBLC Issuance - Claim Settlement - Approval Task Level 1 :: Application No: PK2GIS00005184
Overrides Incoming Message View Undertaking

Summary Screen (2 / 2)

Main	Additional Fields	Advices	Commission, Charges and taxes
Booking Date : 2019-05-06 Submission Mode : Desk Amount : GBP 200	Click here to view Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : Not Initia
Preview Messages	Payment Details	Settlement Details	Party Details
Language : ENG Preview Message : -	Advance by Loan : Liquidate using : Collateral	Component : Account Number : Currency :	Advising Bank : WELLS FARG Applicant : GOODCARE PLC Beneficiary : MARKS AND
Compliance	Accounting Details		
KYC : Verified Sanctions : Verified AML : Verified	Event : BISS Account Number : 412000001 Branch : PK2		

Audit
Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
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- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details.

- Settlement Details – User should be able to view the settlement details.
- Split Settlement Details – User should be able to view the split settlement details.
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Note

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- Compliance – The compliance tile has the KYC, Sanctions and AML

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Guarantee Issuance approval.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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